



Secretary

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifton A. Alferness and David M. Kaye

Serial No.: 09/751,271

Filing Date: December 28, 2000

Title: MITRAL VALVE CONSTRICTING DEVICE, SYSTEM AND METHOD

Attorney Docket No.: 1931-1

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231, on this 10th day of April, 2001.

Kelly Pedersen
Signature

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

In response to the Notice to File Missing Parts of Application dated February 13, 2001, Applicants submit:

- X 1. An executed Declaration and Power of Attorney in the above identified U.S. patent application.
- X 2. Applicants claim small entity status.
- X 3. A copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION.
- X 4. Please note that Applicants have changed the attorney docket number from 1900-1 to **1931-1**.

FEE COMPUTATION



Filing Fee (\$690.00/\$345.00)

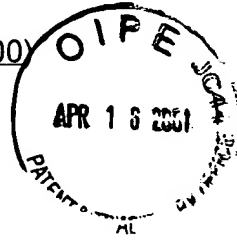
\$ N/A

Surcharge Fee (\$130.00/\$65.00)

\$ 65.00

TOTAL:

\$ 65.00



- X 4. Check No. 16875 for surcharge fee.
5. Charge \$ to Deposit Account No. . A copy of this sheet is enclosed.
- X 6. Please charge any additional fees or credit any overpayment to Deposit Account No. 07-1897.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

Richard O. Gray, Jr.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/751,271 | 12/28/2000 | Clifton A. Alferness | 1900-1 |

CONFIRMATION NO. 8995

FORMALITIES LETTER



OC00000005761563

GRAYBEAL JACKSON HALEY LLP
Suite 350
155-108th Avenue N.E.
Bellevue, WA 98004-5901

Date Mailed: 02/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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